

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231, on
May 20, 2002

Date of Deposit

Bradley G. Lane

Name of applicant, assignee or Registered Representative

Bradley G. Lane
Signature

May 20, 2002

Date of Signature



#2
8-9-02
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TC 1700

Case No. 396/360

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ANDREW BOAST ET AL.

Serial No.: 10/087,119

Filed: March 1, 2002

For: SUMP FILTER WITH FILTER
ELEMENT CARTRIDGE

)
)
)
) Art Unit: 1723
)
) Examiner: Not Assigned
)
)
)
)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 29231

Dear Sir:

In conformance with 37 C.F.R. §§ 1.97 and 1.98, Applicant wishes to
bring to the attention of the Examiner the following.

<u>Country/PTO</u>	<u>Document</u>	<u>Patentee</u>	<u>Date</u>
United States	2,418,247	Dalzell	3/17/41
United States	4,228,012	Pall	10/14/80
United States	Re. 30,779	Cooper	10/20/81
United States	4,608,166	Cain	8/26/86
United States	5,049,271	Cain	9/17/91
United States	5,312,546	Janik	5/17/94
United States	5,534,145	Platter et al.	7/9/96
United States	6,105,789	Boast	8/22/00

Applicant wishes to bring the documents listed on the attached Form PTO-1449 to the attention of the Examiner in the above-captioned application for U.S. Letters Patent. The Examiner is invited to review the full disclosure of these documents for his or her own independent evaluation of their pertinence to the invention claimed. A copy of each of the referenced documents is enclosed herewith, along with a copy of Form PTO-1449.

Applicant requests that these documents be included in the record of this application.



Respectfully submitted,

A handwritten signature in cursive script, reading "Bradley G. Lane", written over a horizontal line.

Bradley G. Lane
Registration No. 33,411
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

Dated: May 20, 2002

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1723

TRANSMITTAL LETTER			Case No. 396/360
Serial No. 10/087,119	Filing Date March 1, 2002	Examiner	Group Art Unit 1723
Inventor(s) Andrew Boast et al.			
Title of Invention Sump Filter With Filter Element Cartridge			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement; PTO-1449; cited references.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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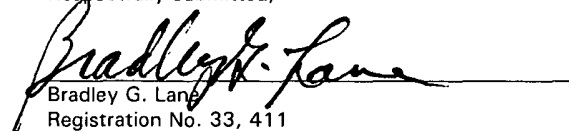
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Bradley G. Lane
Registration No. 33, 411
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

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Date: May 20, 2002 Signature: 



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 10/087,119	CASE NO. 396/360
	FILING DATE March 1, 2002	GROUP ART UNIT 1723
	APPLICANT(S): Andrew Boast et al.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	2,418,247	3/17/41	Dalzell		
	A2	4,228,012	10/14/80	Pall		
	A3	Re. 30,779	10/20/81	Cooper		
	A4	4,608,166	8/26/86	Cain		
	A5	5,049,271	9/17/91	Cain		
	A6	5,312,546	5/17/94	Janik		
	A7	5,534,145	7/9/96	Platter et al.		
	A8	6,105,789	8/22/00	Boast		
	A9					
	A10					
	A11					
	A12					
	A13					

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A14					
	A15					
	A16					
	A17					
	A18					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.